

Information Disclosure Statement

MAY 31 2005 Page 1 of 1

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	DATA STORAGE SYSTEM HAVING SEPARATE DATA TRANSFER SECTION AND MESSAGE NETWORK WITH BUS ARBITRATION																																
Application Number:	09/680156			*09/680156*																													
Confirmation Number:	9150																																
First Named Applicant:	Stephen MacArthur																																
Attorney Docket Number:	07072-115001																																
Examiner:	Dohm Chankong																																
Search string:	( 5241640 or 5752256 or 6651130 ).pn.																																
<b>US Patent Documents</b>																																	
Note: Applicant is not required to submit a paper copy of cited US Patent Documents																																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 5%;">Init</th> <th style="width: 15%;">Cite.No.</th> <th style="width: 20%;">Patent No.</th> <th style="width: 15%;">Date</th> <th style="width: 20%;">Patentee</th> <th rowspan="3" style="width: 10%;">Kind</th> <th rowspan="3" style="width: 10%;">Class</th> <th rowspan="3" style="width: 10%;">Subclass</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>5241640</td> <td>1993-08-31</td> <td>Hisano et al</td> <td>395</td> <td>425</td> </tr> <tr> <td>2</td> <td>5752256</td> <td>1998-05-12</td> <td>Fujii et al</td> <td>711</td> <td>114</td> </tr> <tr> <td>3</td> <td>6651130</td> <td>2003-11-18</td> <td>Thibault</td> <td>710</td> <td>317</td> </tr> </tbody> </table>								Init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	1	5241640	1993-08-31	Hisano et al	395	425	2	5752256	1998-05-12	Fujii et al	711	114	3	6651130	2003-11-18	Thibault	710	317
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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	09/680,156	Confirmation No.:	9150
Applicant	Stephen D. MacArthur et al.		
Filed	October 5, 2000		
T.C./A.U.	2154		
Examiner	Dohm Chankong		
Docket No.	EMC2-080PUS		
Customer No.	022494		

Certificate of Mailing (37 C.F.R. 1.8(a))

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5-18-04  
Date of Signature  
and Mail Deposit

By: Cara Egan  
Cara Egan

INFORMATION DISCLOSURE STATEMENT

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Mail Stop Group Art 2154  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO form PTO/SB/08A (Formerly #1449). The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[ ] (1) The enclosed Information Disclosure Statement is being filed: within three months of the filing date; or within three months of the entry of the national stage of the above-identified application; or before the mailing of a first Office Action on the merits; or before the

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Docket No. EMC2-080PUS

mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

(2) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in 37 C.F.R. § 1.17(p).

SUBMISSION UNDER 37 C.F.R. § 1.97(c)

INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

(3) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(c)

INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

(4) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Appl. No. 09/680,156

Docket No. EMC2-080PUS

SUBMISSION UNDER 37 C.F.R. § 1.97(c)INCLUDING STATEMENTS UNDER 37 C.F.R. §§ 1.704(d) AND 1.97(e)(1)

[ ] (5) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by statements under 37 C.F.R. §§ 1.704(d) and 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement and was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the accompanying Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(d)INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[ ] (6) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(d)INCLUDING A STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[ ] (7) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to

Appl. No. 09/680,156

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any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

SUBMISSION UNDER 37 C.F.R. § 1.97(d)

INCLUDING STATEMENTS UNDER 37 C.F.R. §§ 1.704(d) AND 1.97(e)(1)

[ ] (8) Pursuant to 37 C.F.R. § 1.97(d), the enclosed Information Disclosure Statement is being filed on or before payment of the issue fee and is accompanied by statements under 37 C.F.R. §§ 1.704(d) and 1.97(e)(1) and the fee required under 37 C.F.R. § 1.17(p). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement and was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

Appl. No. 09/680,156

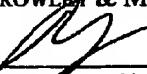
Docket No. EMC2-080PUS

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Dated: 5-18-04

Respectfully submitted,

DALY, CROWLEY &amp; MOFFORD, LLP

By: 

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Reg. No. 25,800  
Attorney for Applicant(s)  
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PTO/SB/08A (02-03)

**Complete if Known**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

#### （每題 10 分，共 100 分）

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U. S. PATENT DOCUMENTS

<p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i></p> <p>Sheet <u>1</u> of <u>1</u></p> <p><i>Substitute for form 1449/PTO</i></p>		<p><b>Complete If Known</b></p> <table border="1"> <tr> <td>Application Number</td> <td>09/680,156</td> </tr> <tr> <td>Filing Date</td> <td>October 5, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Stephen D. MacArthur</td> </tr> <tr> <td>Art Unit</td> <td>2154</td> </tr> <tr> <td>Examiner Name</td> <td>Dohm Chankong</td> </tr> <tr> <td>Attorney Docket Number</td> <td>EMC2-080PLUS</td> </tr> </table>		Application Number	09/680,156	Filing Date	October 5, 2000	First Named Inventor	Stephen D. MacArthur	Art Unit	2154	Examiner Name	Dohm Chankong	Attorney Docket Number	EMC2-080PLUS
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## FOREIGN PATENT DOCUMENTS

Examiner Signature	Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>3</sup>Applicant's unique citation designation number (optional). <sup>4</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>5</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>6</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>7</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

<i>Complete if Known</i>	
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